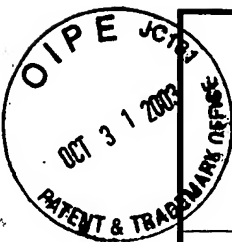


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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	10/621.552	
	Filing Date	July 17, 2003	
	First Named Inventor	Bobby Hu	
	Art Unit		
	Examiner Name		
Total Number of Pages in This Submission	14	Attorney Docket Number	2186-00400 DVF

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  PTO Form 1449 (1p.) and 5 References
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Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Or Individual Name	DEREK V. FORINASH
Signature	
Date	October 28, 2003

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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Date	October 28, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, p. o. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Bobby Hu

SERIAL NO.: 10/621,552

FILED: July 17, 2003

FOR: Reversible Ratcheting Tool With a  
Smaller Head and Improved Driving  
Torque

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ART UNIT: 1724

EXAMINER:  
Not Yet Assigned

CONFIRMATION NO. 1339

**INFORMATION DISCLOSURE STATEMENT**

Atty. Dkt. No.: 2186-00400  
Date: October 27, 2003

Commissioner For Patents  
P. O. Box 1450  
Alexandria, VA. 22313-1450

Sir:

This Information Disclosure Statement, including completed Form PTO-1449, comprises a list of pertinent art of which Applicants are aware. If this application was filed prior to June 30, 2003, a copy of each publication listed on Form PTO-1449 is enclosed herewith.

Consideration of the following related applications and additional information (including any relevant co-pending or abandoned U.S. applications, prior uses and/or sales, etc.) is requested:

U.S. Patent Application Serial No. 10/219,135 filed August 15, 2002, entitled Reversible Ratcheting Tool With a Smaller Head and Improved Driving Torque.

Pursuant to 37 C.F.R. § 1.98 (d), copies of certain patents, publications, pending U.S. application(s) or other information, as specified in 37 C.F.R. § 1.98 (a), listed in the attached Form PTO-1449 are not provided herewith, as they have been previously submitted to, or cited by, the Office in the above-mentioned earlier U.S. Patent Application(s). The Information Disclosure Statement filed in the earlier application(s) complies with 37 C.F.R. § 1.98 (a)-(c).

The submission of this Information Disclosure Statement and the references submitted therewith is not an admission that the art cited is "prior" with respect to the present invention, nor is it a representation, that no better art exists. Applicants hereby reserve the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and the situation warrant such an action. It is submitted that the art cited does not constitute a bar to the patentability of

Applicants' invention under 35 U.S.C. § 102 or § 103. It is submitted that the art cited does not constitute a bar to the patentability of Applicants' invention under 35 U.S.C. § 102 or § 103.

**Electronic Information Disclosure Statement**

As a part of this submission, an electronic Information Disclosure Statement (eIDS) is being transmitted on this date, and includes a listing of U.S. Patent and Published Applications of which Applicants are aware. A printed copy of that submission is attached hereto.

In accordance with 37 C.F.R. 1.98(e), no paper copies of those U.S. Patent and Published Applications are enclosed.

No Office Action on the merits has been received in the present application. Nonetheless, in the event that an Office Action dated prior to the mailing date of this Information Disclosure Statement has been issued, please charge Deposit Account 03-2769, Conley Rose, P.C., in the amount of \$180, so that this Information Disclosure Statement may be considered under Rule 1.97(c).

Respectfully submitted,



DEREK V. FORINASH  
Reg. No. 47,231  
CONLEY ROSE, P.C.  
P. O. Box 3267  
Houston, Texas 77253-3267  
(713) 238-8000  
ATTORNEY FOR APPLICANT

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use several sheets if necessary)

Applicant  
Bobby HuFiling Date  
July 17, 2003Group  
1724**REFERENCE DESIGNATION OF PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	Translation YES NO	
AA*	1 559 093	01/16/1980	Great Britain	B25B	13/46		
AB*	2 135 226	08/30/1984	Great Britain	B25B	13/46		
AC*	921 198	12/09/1954	Germany				
AD	1 810 811	06/11/1970	Germany				X
AE	3 73 436	04/12/1923	Germany				X
AF	130638		China (with translation)				X
AG	212343		China (with translation)				X
AH	310649		China (with translation)				X

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

AI*	First Office Action on Patent Application No. 00103289.5 in People's Republic of China and Translation; (4 p.)
AJ*	Office Action by Taiwan Intellectual Property Office on Opposition to Patent Application No. 089200570 in Taiwan and Translation; and Translations of Taiwanese Patent Publication No. 212343, of Taiwanese Patent Publication No. 310649, and of Taiwanese Patent Publication No. 130638; (11 p.)
AK*	Decision by the Board of Appeal and Translation of same; (13 p.)
AL*	Taiwan Intellectual Property Office's Answer to Appeal Brief and Translation of same (5 p.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

112882.01\2186-00400

\* Previously Cited

UNITED STATES PATENT AND TRADEMARK OFFICE  
ACKNOWLEDGEMENT RECEIPT

Electronic Version 1.1

Stylesheet Version v1.1.1

<b>Title of Invention</b>	Reversible Ratcheting Tool with a Smaller Head and Improved Driving Torque																									
<b>Submission Type:</b>	Information Disclosure Statement																									
<b>Application Number:</b>	10/621552	*10/621552*																								
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<b>First Named Applicant:</b>	BOBBY HU																									
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**ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Electronic Version v18

Stylesheet Version v18.0

**Title of  
Invention****Reversible Ratcheting Tool with a Smaller Head and  
Improved Driving Torque**

Application Number: 10/621552

**\*10/621552\***

Confirmation Number: 1339

First Named Applicant: BOBBY HU

Attorney Docket Number: 218600400

Art Unit: 1724

Search string: ( 00015482 or 0726012 or 0810599 or 0841686 or  
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 or 20020026858 or 20020062718 or 20020088312  
 or 20020112573 or 20020166416 or 20020166417  
 or 20020162423 or 20020162424 ).pn.

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Note: Applicant is not required to submit a paper copy of cited US Patent Documents

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	172	6453779	2002-09-24	Hu
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	177	D433896	2000-11-21	Wei
	178	6148695	2000-11-21	Hu
	179	6153826	2000-11-28	Profeta et al.

## US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
	1	20010035074	2001-11-01	Hu			
	2	20020017169	2002-02-14	Hu			
	3	20020023519	2002-02-28	Hu			
	4	20020023520	2002-02-28	Hu			
	5	20020026858	2002-03-07	Hu			
	6	20020062718	2002-05-30	Wang			
	7	20020088312	2002-07-11	Ling et al.			
	8	20020112573	2002-08-22	Hu			
	9	20020166416	2002-11-14	Hu			
	10	20020166417	2002-11-14	Hu			
	11	20020162423	2002-11-07	Hu			
	12	20020162424	2002-11-07	Hu			

## Signature

Examiner Name	Date